

# Best Available Copy

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
22 January 2004 (22.01.2004)

PCT

(10) International Publication Number  
**WO 2004/008130 A1**

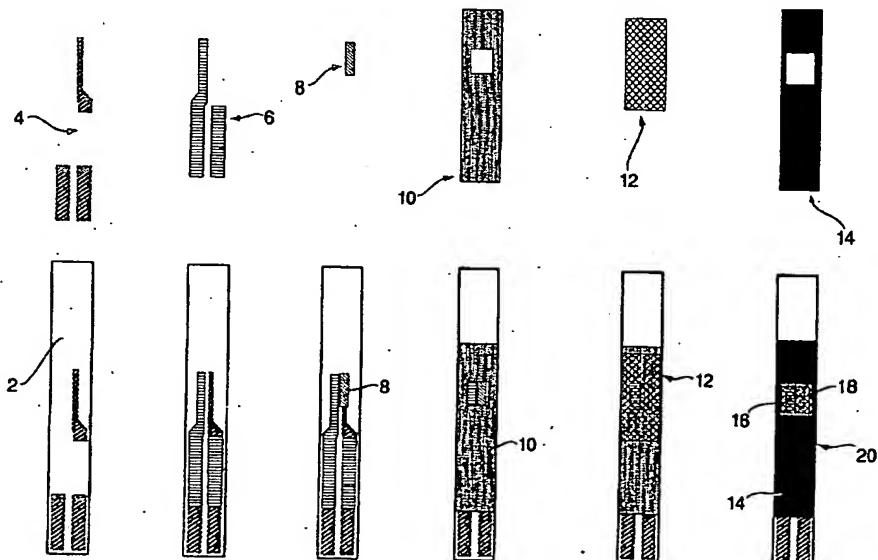
- (51) International Patent Classification<sup>7</sup>: **G01N 27/327, C12Q 1/00, 1/26**
- (21) International Application Number: **PCT/GB2003/002901**
- (22) International Filing Date: **4 July 2003 (04.07.2003)**
- (25) Filing Language: **English**
- (26) Publication Language: **English**
- (30) Priority Data:  
**0216039.8 11 July 2002 (11.07.2002) GB**
- (71) Applicant: **HYPOGUARD LIMITED [GB/GB]; Dock Lane, Melton, Woodbridge IP12 1PE (GB).**
- (72) Inventors: **BLACK, Murdo, M.; Cowiehill, 72 Lour Road, Forfar, Angus DD8 2AZ (GB). BUTTERS, Colin; Holly Lodge, Bell Lane, Marlesford, Ipswich IP13 0AH (GB). HO, Wah, On; 2 Fuchsia Lane, Ipswich IP4 1QB (GB). RIPPETH, John; 44 Finbars Walk, Ipswich IP4 1HY (GB).**
- (74) Agents: **GEMMELL, Peter, Alan et al.; Dummett Copp, 25 The Square, Martlesham Heath, Ipswich IP5 3SL (GB).**
- (81) Designated States (*national*): **AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.**
- (84) Designated States (*regional*): **ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).**

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ENZYME ELECTRODES AND METHOD OF MANUFACTURE



(57) Abstract: A non-mediated enzyme electrode comprises a base substrate (2) on which is provided an electrically conductive base layer (8) comprising finely divided platinum group metal or oxide bonded together by a resin; a top layer on the base layer (8), the top layer comprising a buffer. A catalytically active quantity of an oxidoreductase enzyme is provided in at least one of the base layer and the top layer. The invention also provides a biosensor (20) which includes an enzyme electrode, and methods of manufacturing the enzyme electrode and biosensor.

**WO 2004/008130 A1**

- 1 -

## ENZYME ELECTRODES AND METHOD OF MANUFACTURE

### BACKGROUND OF THE INVENTION

#### 5 1. Field of the Invention

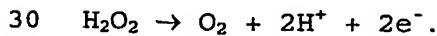
The present invention relates to enzyme electrodes for measuring analyte concentration in fluids, for example glucose in whole blood. Enzyme electrodes comprise an 10 enzyme layered on or mixed with an electrically conductive substrate. The electrodes respond amperometrically to the catalytic activity of the enzyme in the presence of a suitable analyte (substrate). The invention also extends to a biosensor, notably a single-use biosensor, which 15 includes the enzyme electrode.

#### 2. Description of the Prior Art

Amperometric biosensors are well known in the art. 20 Typically the enzyme is an oxidoreductase, for example glucose oxidase, cholesterol oxidase, or lactate oxidase, which produces hydrogen peroxide according to the reaction:

25 analyte + O<sub>2</sub>—[oxidase] → oxidised product + H<sub>2</sub>O<sub>2</sub>.

The peroxide is oxidised at a fixed-potential electrode as follows:



Electrochemical oxidation of hydrogen peroxide at the platinum centres on the electrode results in transfer of electrons from the peroxide to the electrode producing a current which is proportional to the analyte

5 concentration. Where glucose is the analyte, the oxidised product is gluconolactone. Japanese Unexamined Patent Publication No. 56-163447 describes a system which employs glucose oxidase immobilised on a platinum electrode. The electrode comprises a layer of immobilised enzyme on an

10 electrically conductive carbon base. The base is formed from moulded graphite containing up to 10 parts by weight of a fluorocarbon resin binder, onto which is deposited a thin (less than 1  $\mu\text{m}$ ) platinum film. The invention is said to avoid the problems associated with the

15 immobilisation of the enzyme directly onto the platinum surface and to produce an enzyme electrode having rapid response times (5 seconds), high sensitivity and durability. However, according to US Patent No. 4,970,145, recent experimental work with such electrodes

20 has failed to elicit such benefits.

US Patent No. 4,970,145 describes an enzyme electrode comprising a substantially heterogeneous porous substrate consisting essentially of resin-bonded carbon or graphite particles with a platinum-group metal dispersed substantially uniformly throughout the substrate, and a catalytically active quantity of an enzyme adsorbed or immobilised onto the surfaces of the porous substrate. The electrodes are manufactured either by cross-linking

25 the enzyme to the substrate, or by suspending the porous substrate in a buffered solution of the enzyme for 90

- 3 -

minutes at room temperature. Alternatively, adsorption of the enzyme to the electrode is effected by electroadsorption, wherein the electrode base material is suspended at a positive potential in an enzyme solution 5 for 60 minutes. The electrode is said to have fast response times (1-2 seconds without a protective membrane, and 10 to 30 seconds with a membrane) and good stability. The working range is said to be extended, and the electrode requires a substantially lower operating 10 potential than normal (325 mV against the more usual 650 mV) and exhibits low background at the operating potential.

US Patent No. 5,160,418 discloses a simplified enzyme 15 electrode comprising a thin film of a substantially homogeneous blend of enzyme and finely-divided platinum group metal or oxide. Optionally, platinised or palladised finely-divided carbon or graphite may be used and, also optionally, a binder. The film can be made by 20 screen-printing a liquid suspension containing the components.

We have found that prior art systems such as described above have high intercepts relative to sensitivity, 25 resulting in poor calibrated precision. We have also found that there is a gradual attenuation of sensitivity with time which is not necessarily related to enzyme instability.

30 As an alternative to measurement of an electrical signal following transfer of electrons from peroxide to the

- 4 -

electrode, some biosensors include an electron carrier, or "mediator" which, in an oxidised form, accepts electrons from the enzyme and then, in a reduced state, transports the electrons to the electrode where it becomes re-  
5 oxidised. Prior art examples of mediators include ferrocene, ferrocene derivatives, ferricyanide, osmium complexes, 2,6-dichlorophenolindophenol, Nile Blue, and Medola Blue; see, for example: US 5,708,247, US 6,241,862, WO 98/55856, and WO 99/13100. Biosensors that employ a  
10 redox mediator to transfer electrons between the enzyme and the electrode will be referred to as "mediated biosensors".

Mediated biosensors can suffer from a number of problems, including chemical instability. The mediator must be in a particular redox state to function, so that if the reduced form is oxidised by air the measured current will be reduced. Oxygen may also interfere by accepting electrons to form peroxides which are not oxidised at the potential  
15 of the mediated electrode. If the electrode potential is increased to oxidise the peroxide, this makes the system prone to interference from other species which may be dissolved in blood, for example paracetamol, ascorbate, and uric acid. Thus, variation in oxygen concentration in  
20 blood may cause variation in measured glucose response in a mediated system.

Desirable attributes for a single use biosensor include:

- low intercept, related to background - to achieve  
30 low coefficients of variation (CV's) after calibration;

- 5 -

- as high a sensitivity as the electronics will allow;
- stability;
- good precision;
- 5 • reproducible manufacture;
- rapid response;
- low cost.

The present invention seeks to provide an enzyme electrode  
10 and biosensor which are improved in respect of at least some of the above criteria.

#### SUMMARY OF THE INVENTION

- 15 According to an aspect of the present invention there is provided a non-mediated enzyme electrode for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by said enzyme and of an electric potential on the electrode, said electrode comprising a base substrate on which is provided:
- 20 (a) an electrically conductive base layer comprising finely divided platinum group metal or oxide bonded together by a resin;
- 25 (b) a top layer on the base layer, said top layer comprising a buffer; and
- (c) a catalytically active quantity of said oxidoreductase enzyme in at least one of said base layer and said top layer.

30

The term "non-mediated" is used herein to refer to an

- 6 -

enzyme electrode which does not contain any significant quantity of a redox mediator, and to a biosensor including such an enzyme electrode. Preferably, the enzyme electrode does not contain any redox mediator. Thus, when 5 an oxidoreductase enzyme such as glucose oxidase is employed, all or substantially all of the measured current results from oxidation of peroxide at the electrode.

We have found that by providing the buffer in the top 10 layer, we can get faster response times than conventional non-mediated biosensors, together with increased stability and sensitivity. The increase in sensitivity and response time we believe is achieved by providing a high buffering capacity on the strip. The oxidation of hydrogen peroxide 15 produces hydrogen ions which are neutralised by the buffer. This can have two effects: it sustains enzyme activity by maintaining the local pH around the enzyme, and it also shifts the equilibrium of the hydrogen peroxide oxidation making it more efficient. Improving the 20 efficiency of hydrogen peroxide oxidation also results in greater oxygen recycling which can be utilised by the oxidoreductase enzyme. We have also found that the ratio of enzyme to buffer is important in obtaining a desirable linearity of response and to obtain a reasonable lower 25 limit of sensitivity. We have further found that the buffer and enzyme needs to exceed a particular threshold concentration to attain the maximum sensitivity and above this concentration the ratio of buffer to enzyme can be used to "tune" the profile of the response of the 30 biosensor to blood glucose, as will be discussed later in the context of our experimental results.

- 7 -

The pH range for the buffer will depend on the specific chemistry of the system. A preferred range is pH 7-10, notably 7 to 8.5. Preferred buffers are phosphate, at 5 about pH 8, and ADA at about pH 7.5.

The platinum group metal or oxide may be present in sufficient quantity for the base layer to be electrically conductive, as taught in US 5,160,418. Alternatively, the 10 base layer may also contain particles of finely divided carbon or graphite. For convenience, the term "catalyst" will be used herein to refer to the finely divided platinum group metal or oxide. In a preferred embodiment, the catalyst is in intimate surface contact with the 15 carbon or graphite particles, for example as platinised carbon or palladised carbon.

The resin may comprise any compatible binder material or bonding agent which serves to bond the platinum group 20 metal or oxide in the base layer; for example, a polyester resin, ethyl cellulose or ethylhydroxyethylcellulose (EHEC).

The enzyme electrode may be manufactured by printing an 25 ink containing the catalyst on the base substrate, allowing the printed ink to dry to form a base layer, and subsequently forming the top layer by applying a coating medium comprising or containing the buffer. The coating medium is preferably a fluid, notably an aqueous fluid in 30 which the buffer is dissolved. However, the coating medium could comprise a dry powder consisting of or

containing the buffer, which is applied, for example by spraying, to a tacky base layer. Suitable methods for forming the top layer when a coating fluid is applied include printing, spraying, ink jet printing, dip-coating or spin coating. A preferred coating technique is drop coating of a coating fluid, and the invention will be described hereinafter with reference to this preferred method.

10. Typically, the enzyme electrode will be incorporated in the working electrode of a biosensor, and a reference electrode will also be provided for completing a circuit and providing a stable reference potential, as is well known in the art.

15

Accordingly, a further aspect of the invention provides a non-mediated biosensor for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by 20 said enzyme, the biosensor comprising:

- (a) a base substrate;
- (b) a working electrode and a reference electrode on the base substrate;
- (c) conductive tracks connected to the said electrodes 25 for making electrical connections with a test meter apparatus;

wherein the working electrode includes:

- (d) an electrically conductive base layer comprising finely divided platinum group metal or oxide bonded together by a resin;
- (e) a top layer on the base layer, said top layer

comprising a buffer; and

- (f) a catalytically active quantity of said oxidoreductase enzyme in at least one of said base layer and said top layer.

5

In a preferred embodiment, the enzyme is provided in the top layer with the buffer. This arrangement facilitates adjustment of the pH in the local environment of the top layer to a level at which the enzyme may operate more efficiently, which level is typically different from that at which the platinum group metal or oxide optimally operates.

10 A system stabiliser may advantageously be included in the top layer. Suitable stabilisers include polyols other than those which are acted upon by the enzyme; for example trehalose, mannitol, lactitol, sorbitol or sucrose where the enzyme is glucose oxidase. The system stabiliser may stabilise the enzyme by encapsulation, hindering tertiary structural changes on storage, or by replacing the water activity around the enzyme molecule. The glucose oxidase enzyme has been shown to be a very stable enzyme and the addition of stabilisers are not primarily to protect this enzyme. The stabilisers help to reduce long term catalyst 15 passivation effects, for example by coating a platinised carbon resin base layer as well as blocking the carbon surface to air oxidation.

If carbon particles are present in the base layer, a 20 blocking agent may optionally be included in that layer to block active sites on the carbon particles. This aids

- 10 -

shelf stability and uniformity of the carbon's activity. Suitable blocking agents include the system stabilisers and also proteins, for example bovine serum albumin (BSA). If graphite particles are used instead of high surface 5 carbon, the particles have higher conductivity, and a blocking agent is less desirable because the number of active moieties on the graphite is much less than that found on carbon. The smaller surface area and less active surface groups both tend to reduce the intercept. At 0 mM 10 of analyte the intercept consists mainly of a capacitative component which is surface area related.

The base substrate may be formed from any suitably heat-stable material. Heat stability is important to ensure 15 good registration of prints in the manufacturing process. A preferred substrate is Valox FR-1 thermoplastic polyester film (poly(butylene terephthalate) copoly (bisphenol-A/tertabromobisphenol-A-carbonate). Other suitable substrates will be well known to those skilled in 20 the art, for example PVC, poly (ether sulphone) (PES), poly (ether ether ketone) (PEEK), and polycarbonate..

The enzyme may be any suitable oxidoreductase enzyme; for example glucose oxidase, cholesterol oxidase, or lactate 25 oxidase.

Other aspects and benefits of the invention will appear in the following specification, drawings and claims.

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention will now be further described, by way of example, with reference to the following drawings in  
5 which:

- Figure 1 shows stages in the formation of a biosensor in accordance with an aspect of the invention;
- 10 Figure 2 is a graph illustrating a comparison between glucose calibrations on a biosensor of the present invention and a prior art biosensor;
- 15 Figure 3 is a graph illustrating the effect of phosphate buffer in the top layer on response;
- Figure 4 is a graph illustrating the effect of pH on glucose response;
- 20 Figures 5 and 6 are graphs illustrating the effect of pH on hydrogen peroxide response;
- Figures 7 and 8 are graphs illustrating the effect of pH on glucose response;
- 25 Figures 9 and 10 are graphs illustrating the effect of buffer type on hydrogen peroxide response;
- Figure 11 shows calibration graphs for biosensors with a fixed buffer/enzyme ratio;

- 12 -

Figures 12 and 13 show calibration results for biosensors with different buffer/enzyme ratios;

5 Figure 14 shows results for different combinations of ink and drop coats;

Figures 15 and 16 are graphs of results for biosensors without a mesh layer; and

10 Figures 17 and 18 are graphs illustrating the effect of ADA buffer in the top layer on response.

#### DETAILED DESCRIPTION

##### 15 Preparation of BSA-Pt/Carbon

In a 250 mL glass bottle, 6.4 g of BSA, Miles Inc. was dissolved in 80 mL of phosphate buffered saline (PBS) and 20 g of 10%Pt/XC72R carbon, MCA Ltd, was gradually added 20 with constant stirring. The bottle was then placed on a roller mixer and allowed to incubate for two hours at room temperature.

A Buchner funnel was prepared with two pieces of filter paper, Whatman™ No 1. The mixture was poured into the funnel and the carbon washed three times with approximately 100 mL of PBS. The vacuum was allowed to pull through the cake of carbon for about 5 minutes to extract as much liquid as possible. The cake of carbon was 30 carefully scraped out into a plastic container and broken up with a spatula. The carbon was then placed in an oven

- 13 -

at 30°C overnight to dry. The purpose of this procedure is to block active sites on the carbon hence to aid the shelf stability and reproducibility of the carbon's properties.

5 Preparation of platinum group metal/carbon inks

BSA-Pt/Carbon was prepared in Metech 8101 polyester resin as the polymer binder, Terpineol BP, from RC Treatt, as a flow agent and Butyl Cellosolve Acetate (BCA) as a solvent  
10 for the ink.

The formulation of a first ink consisted of:

**Ink Formulation (I)**

15

Metech 8101 resin	54.05%
BSA-Pt/Carbon	27.09%
BCA	12.57%
Terpineol BP	6.29%

20

The resin, solvent and flow agent were initially blended together prior to adding the carbon fraction. Initially the formulation was hand mixed followed by several passes through a triple roll mill. This produces a smooth  
25 homogeneous thixotropic carbon ink suitable for screen-printing.

An alternative formulation is one similar to that described in US 4,970,145, the content of which is  
30 incorporated herein by reference, in which glucose oxidase (GOD) is adsorbed onto the Pt/Carbon prior to BSA adsorption and incorporation into an ink.

- 14 -

In another formulation the level of BSA-Pt/carbon was reduced and graphite was added. The flow control agent was omitted and a surfactant was incorporated.

5

#### Ink Formulation (II)

	Metech 8101 resin	45.32%
	BSA-Pt/Carbon	18.67%
10	graphite	9.77%
	BCA/cyclohexanone	23.26%
	Tween® 20	2.98%

Tween 20 is a surfactant supplied by Sigma-Aldrich. Tween is a registered trade mark of ICI Americas Inc. The solvent is a 50% v/v mixture of BCA and cyclohexanone. The additional volume of solvent compared to that used for Ink Formulation I was added to the ink after triple roll milling to bring the ink to a suitable viscosity for printing. The graphite was Timrex KS 15 (particle size < 16 µm), from GS Inorganics, Evesham, Worcs. UK.

A further test formulation included GOD in the ink, as follows.

25

#### Ink Formulation (III)

	Metech 8101 resin	44.68%
	BSA-Pt/Carbon	18.42%
30	graphite	9.64%
	BCA/cyclohexanone	22.94%
	Tween® 20	2.94%

- 15 -

glucose oxidase 1.38%

**Preparation of Drop Coating Solution**

5 The coating solution is water-based and consists of a high concentration of buffer, preferably phosphate at pH 8. It has been found that buffering capacity is more important than ionic strength. In this example the solution  
10 contains glucose oxidase and a system stabiliser, in this example trehalose.

Buffer	KH <sub>2</sub> PO <sub>4</sub> /K <sub>2</sub> HPO <sub>4</sub>	385 mM, pH 8	Sigma
Enzyme	Glucose oxidase	4080 U/mL	Biozyme
15 Stabiliser	Trehalose	1%	Sigma

**Preferred Ranges**

Buffer	300 - 1000 mM, pH 7 - 10
20 Enzyme	500 - 12000 U/mL (1.85 - 44.4 mg/mL)
Stabiliser	0.5 - 10%

The activity of the glucose oxidase is about 270 units per milligram of material (360 units/mg of protein because the  
25 enzyme comes in a preparation with other lyophilisation and stabilisation agents).

If the enzyme is located in the base layer, for example in a base layer prepared using Ink Formulation III, the drop  
30 coating solution may contain only buffer, optionally with the stabiliser.

- 16 -

### **Method of Manufacture**

5 Glucose test strips (biosensors) were manufactured using a combination of screen printing and drop coating technologies. Other printing and/or coating technologies, well known *per se* to those skilled in the printing and coating arts may also be used.

10

With reference to Figure 1, a base substrate 2 is formed from a polyester (Valox<sup>™</sup>). Conductive tracks 4 were printed onto the substrate 2 as a Conductive Carbon Paste, product code C80130D1, Gwent Electronic Materials, UK. The 15 purpose of this ink is to provide a conductive track between the meter interface and the reference and working electrodes. After printing, this ink was dried for 1 minute in a forced air drier at 130°C. The second ink printed on top of the conductive carbon 4 is a 20 Silver/Silver Chloride Polymer Paste, product code C61003D7, Gwent Electronic Materials, UK. This ink 6 is not printed over the contact area or the working area. This ink 6 forms the reference electrode 16 of the system. It is dried at 130°C in a forced air drier for 1 minute.

25

The next layer is the platinum group metal carbon ink (Ink Formulations I, II or III) which is printed as a layer 8 onto the conductive carbon 4 in the target area. This ink is dried for 1 minute at 90°C in a forced air drier to 30 form a base layer about 12 µm thick. A first dielectric layer 10 is then printed. The first dielectric layer 10

- is MV27, from Apollo, UK. The purpose of this layer is to define a target area for blood application and to insulate the system. It is dried at 90°C for 1 minute in a forced air drier. A spreading layer 12, which consists of a 5 surfactant-coated polyester mesh, Saaticare PES 105/52, Saati, Italy, or Petex 07-105/52, Sefar, Switzerland, is then placed over the target area. This is then sealed onto the electrode using a further layer 14 of MV27 dielectric and dried. If desired, the base layer 8 can alternatively 10 be printed after the first dielectric layer 10. However, it is preferred to print the base layer 8 first, since the subsequent application of the first dielectric layer 10 removes some of the tolerance requirements of the print.
- 15 The drop coat layer is then applied to the electrode using BioDot drop coating apparatus. The volume of drop coating solution used is 1 µl; this is dried in a forced air drier for 1 minute at 50°C. The final biosensor 20 has a reference electrode 16 and a working electrode 18 within 20 the target area. The working electrode comprises the base layer 8 on a conductive carbon layer 4 on the base substrate 2, and a top layer including the buffer. The mesh 12 helps to spread out a sample of blood when this is applied to the target area.

25

#### Preparation of Comparative Biosensor (Prior Art)

An ink was formulated as described above (Ink Formulation I) but using glucose oxidase (GOD) in place of BSA. The 30 ink was used in the manufacture of a biosensor as described above (Method of Manufacture) but without the

drop coating step.

#### Standard Test Procedures

5 The test procedure involves connecting the test strips to a potentiostat. A potential of 350 mV is applied across the working and reference electrodes after application of a sample, in this example a sample of whole blood (WB).  
10 The potential is maintained for 15 seconds, after which the current is measured; this current is used to prepare response graphs. Results for graphs 2 to 10 were obtained using Ink Formulation I.

#### Explanation of Figures

15

• Comparison between glucose calibrations on old and new methodologies (Fig. 2). The old methodology refers to the prior art non-mediated Comparative Biosensor, which does not include high buffer concentrations. It can be seen that increasing the pH from pH 7.4 within the ink at low buffer concentrations to pH 8 at a high local buffer concentration with a top layer which also includes the enzyme results in a dramatic increase in sensitivity.  
20  
25

• Effect of Phosphate buffer concentration, in top layer, on response (Fig. 3). It can be seen in this plot that the sensitivity of the response to glucose and also to hydrogen peroxide is dramatically increased by the concentration of  
30

- 19 -

phosphate buffer. The buffer pH was pH 7.4. This plot also demonstrates the efficiency gap between hydrogen peroxide measurement and glucose measurement. Hydrogen peroxide is being directly oxidised at the platinum surface whereas glucose has to react with glucose oxidase and produce hydrogen peroxide. Where glucose oxidase is in the top layer it rapidly comes into solution on application of sample diffusing into the bulk. Hydrogen peroxide produced by glucose oxidation has a variable diffusion distance to the electrode surface whereas hydrogen peroxide applied in the sample does not. The ideal situation would be to have glucose oxidase immobilised at the electrode surface yet have high ionic strength and stabilisers in the top layer.

• Effect of pH on glucose response (Fig. 4). This plot shows that the glucose response is increased with pH. The buffer concentration at each pH is maintained at 350 mM. This cannot be due to increased activity from the glucose oxidase as it has its pH maximum at pH 5.6; at pH 10 the glucose oxidase should be grossly inhibited. A possible mechanism for the increased glucose related response is that the glucose is being directly oxidised at the electrode surface. It is known that glucose will oxidise at a platinum surface but under normal conditions this response should be very small in comparison with the enzyme facilitated glucose oxidation. It may be that high

- 20 -

buffer concentration coupled with high pH results in a huge enhancement in the direct oxidation of glucose, although this is unlikely. Alternatively if the hydrogen peroxide response were to increase with pH this might compensate for some of the drop-off in glucose oxidase response.

- Effect of pH on hydrogen peroxide response (Fig. 5, Fig. 6). This plot shows very little change in hydrogen peroxide response with pH, except at low hydrogen peroxide concentrations. The sensitivity to hydrogen peroxide is a factor of five higher than that to glucose hence it is more applicable to look at low hydrogen peroxide concentrations. Increased pH will result in ionisation of active groups on the carbon surface, this increases the non-Faradaic component of the electrochemical response resulting in an increased intercept.
- Effect of buffer type on glucose response (Fig. 7, Fig. 8). Different buffers were evaluated. All buffers were drop coated on the electrode surface and dried. All buffers were at pH 7.4 and 350 mM. The buffers could be separated into three distinct groups.
  - group A - bis-tris - this buffer resulted in a high intercept and relatively poor sensitivity to glucose.
  - group B - phosphate, MOPS, MES, HEPES, ACA, ACES, TES and Tricine - these buffers all gave roughly similar responses, low intercepts and reasonable

- 21 -

sensitivity to glucose.

group C - Borate, Tris - these buffers gave low intercepts but poor glucose sensitivity.

5

- Effect of buffer type on hydrogen peroxide response (Fig. 9, Fig. 10). Similar electrodes to that used for the effect of buffer type on glucose response were used in this experiment. The buffer types could be separated into 3 groups.

10 group A - bis-tris - this buffer resulted in a high intercept but reasonable sensitivity above 4 mM.

group B - phosphate, MOPS, HEPES, ACES, TES, ACA - all gave similar responses low intercept and reasonable sensitivity to hydrogen peroxide.

15 group C - Borate, Tris and Tricine - low intercepts and reduced sensitivity to hydrogen peroxide

There are similar trends when comparing the glucose and 20 hydrogen peroxide sensitivities with buffer type. This would imply that the major effect of the buffer is on hydrogen peroxide oxidation. The bis-tris buffer is electrochemically active which results in the high background current at zero hydrogen peroxide. The Borate 25 Tris and Tricine buffers have pKa values greater than 8, hence they would have poor buffering capacity at pH 7.4. All the other buffers have pKa values close to 7.4.

Turning now to Figures 11 to 18, these show results for 30 biosensors in which the base layer is printed using Ink Formulation II with various drop coat formulations to

- 22 -

provide the top layer, except where otherwise stated. The concentration of buffer in the drop coat formulation is expressed as mmol L<sup>-1</sup> (mM), and the concentration of GOD enzyme is expressed as mg/mL. Each drop coat solution 5 also contained 1% of trehalose.

Figure 11 shows venous blood glucose calibrations for batches with phosphate buffer/GOD ratios (mol/kg) of about 60. The results show that for a fixed buffer/enzyme ratio 10 above a threshold concentration the product gives substantially the same response. A preferred minimum buffer concentration is about 300 mM.

Figure 12 shows blood glucose calibration of biosensors 15 made with formulations of differing GOD concentrations and a fixed phosphate buffer concentration of 385 mM. Figure 13 is part of the graph of Figure 12, expanded to show low glucose concentration results more clearly. The graphs illustrate that increasing the ratio of buffer to enzyme 20 (decreasing enzyme) improves sensitivity at critical low blood glucose concentrations. Above the minimum threshold, adjustment of the ratio of buffer to enzyme can be used to "tune" the profile of the response to the biosensor to blood glucose. To obtain better linearity of response a 25 preferred buffer/enzyme ratio (mol/kg) is in the range 30-80, notably 40-60.

Referring now to Figure 14, results are shown for different ink and drop coat formulations. The results 30 labelled BSA ink are for a base coat ink made up in accordance with Ink Formulation II. The results labelled

- 23 -

GOD ink are for a base coat ink made up in accordance with Ink Formulation III. Results are shown for drop coat solutions made in accordance with the formulation given earlier, and also for a similar solution without GOD, and 5 for a case where no drop coat was applied. In the latter case there is little sensitivity, but in the other cases glucose levels can be measured at all practical concentrations. Best results are obtained when both enzyme and buffer are present in the top coat.

10

Calibration graphs are shown in Figure 15, for a biosensor without the mesh layer 12. Omission of the mesh layer 12 permits lower drop coat volumes to be used, because applied fluid does not get drawn away from the working 15 area by the mesh, but the drop application must be more precise. Results are shown for 2 x 125 nL drops of phosphate in the drop coat solution, with base layers formed using the GOD ink (Ink Formulation III). Higher levels of buffer give better linearity. The graphs in 20 Figure 16 show results for biosensors having base layers formed using Ink Formulation II, and top layers formed using drop coat solutions with GOD and buffer. Here also, higher levels of buffer give better linearity.

25 Figures 17 and 18 graph results for drop coat solutions of GOD and ADA buffer (N-(2-acetamido-2-iminodiacetic acid). The threshold buffer concentration is about 200 mM, and the preferred ratio (mol/kg) buffer/GOD is about 40-100, notably about 60-80.

30

## CLAIMS

1. A non-mediated enzyme electrode for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by said enzyme and of an electric potential on the electrode, said electrode comprising a base substrate on which is provided:
  - (a) an electrically conductive base layer comprising finely divided platinum group metal or oxide bonded together by a resin;
  - (b) a top layer on the base layer, said top layer comprising a buffer; and
  - (c) a catalytically active quantity of said oxidoreductase enzyme in at least one of said base layer and said top layer.
2. An enzyme electrode according to claim 1, wherein the buffer is selected from a group comprising: phosphate, ADA, MOPS, MES, HEPES, ACA, and ACES, or buffers with a pKa  $7.4 \pm 1$ .
3. An enzyme electrode according to claim 1 or claim 2, wherein the buffer has a pH in the range 7 to 10.
4. An enzyme electrode according to claim 3, wherein the buffer has a pH in the range 7 to 8.5.
5. An enzyme electrode according to any preceding claim, further including a system stabiliser in the top layer, comprising a polyol which is not acted upon by the enzyme.

- 25 -

6. An enzyme electrode according to claim 5, wherein the system stabiliser is trehalose.
- 5 7. An enzyme electrode according to any preceding claim, wherein the oxidoreductase enzyme is glucose oxidase.
8. An enzyme electrode according to any preceding claim, wherein the base layer also contains particles of finely-  
10 divided carbon or graphite.
9. An enzyme electrode according to claim 8, wherein said finely divided particles of platinum group metal or oxide are adsorbed onto the surface of the finely-divided  
15 carbon or graphite.
10. An enzyme electrode according to claim 8 or claim 9, wherein the particles of finely divided carbon or graphite comprise carbon, and wherein the base layer further  
20 includes a blocking agent for blocking active sites of the carbon particles.
11. An enzyme electrode according to claim 10, wherein the said blocking agent comprises a protein or a polyol.  
25
12. An enzyme electrode according to claim 11, wherein the blocking agent is bovine serum albumin (BSA) or trehalose.
- 30 13. An enzyme electrode according to any preceding claim, wherein the said oxidoreductase enzyme is located

substantially in the said top layer.

14. An enzyme electrode according to any preceding claim,  
further including a spreading layer for aiding spreading  
5 of the said fluid.

15. An enzyme electrode according to any preceding claim,  
wherein the ratio of buffer to enzyme is in the range 30-  
80 mol/kg.

10

16. An enzyme electrode according to claim 15, wherein  
the ratio of buffer to enzyme is in the range 40-  
60 mol/kg.

15 17. A non-mediated biosensor for indicating  
amperometrically the catalytic activity of an  
oxidoreductase enzyme in the presence of a fluid  
containing a substance acted upon by said enzyme, the  
biosensor comprising:

- 20 (a) a base substrate;  
(b) a working electrode and a reference electrode on the  
base substrate;  
(c) conductive tracks connected to the said electrodes  
for making electrical connections with a test meter  
25 apparatus;

wherein the working electrode includes:

- (d) an electrically conductive base layer comprising  
finely divided platinum group metal or oxide bonded  
together by a resin;  
30 (e) a top layer on the base layer, said top layer  
comprising a buffer; and

- 27 -

- (f) a catalytically active quantity of said oxidoreductase enzyme in at least one of said base layer and said top layer.
- 5 18. A biosensor according to claim 17, wherein the buffer is selected from a group comprising: phosphate, ADA, MOPS, MES, HEPES, ACA, and ACES.
- 10 19. A biosensor according to claim 17 or claim 18, wherein the buffer has a pH in the range 7 to 10.
20. A biosensor according to claim 19, wherein the buffer has a pH in the range 7 to 8.5.
- 15 21. A biosensor according to any of claims 17 to 20, further including a system stabiliser in the top layer, comprising a polyol which is not acted upon by the enzyme.
- 20 22. A biosensor according to claim 21, wherein the system stabiliser is trehalose.
- 25 23. A biosensor according to any of claims 17 to 22, wherein the ratio of buffer to enzyme is in the range 30-80 mol/kg.
24. A biosensor according to claim 23, wherein the ratio of buffer to enzyme is in the range 40-60 mol/kg.
- 30 25. A biosensor according to any of claims 17-24, wherein the base layer also contains particles of finely-divided carbon or graphite.

- 28 -

26. A biosensor according to claim 25, wherein said finely divided particles of platinum group metal or oxide are adsorbed onto the surface of the finely-divided carbon or graphite.

5

27. A method of manufacturing a non-mediated biosensor for indicating amperometrically the catalytic activity of an oxidoreductase enzyme in the presence of a fluid containing a substance acted upon by said enzyme, the 10 method comprising the steps of:
- (a) taking a base substrate having a working electrode and a reference electrode thereon, and conductive tracks connected to the said working and reference electrodes for making electrical connections with a test meter apparatus;
  - 15 (b) printing on the said working electrode an ink containing finely divided platinum group metal or oxide and a resin binder;
  - (c) causing or permitting the said printed ink to dry to form an electrically conductive base layer comprising the 20 said platinum group metal or oxide bonded together by the resin; and
  - (d) forming a top layer on the base layer by coating the base layer with a coating medium comprising or containing a buffer; wherein
  - 25 (e) a catalytically active quantity of said oxidoreductase enzyme is provided in at least one of the printed ink and the coating medium.

28. A method according to claim 27, wherein the coating 30 medium is a coating fluid containing the buffer and wherein the method further comprises causing or permitting

- 29 -

said coating fluid to dry to form a top layer on the base layer.

29. A method according to claim 28, wherein the coating  
5 fluid is applied by drop coating.

30. A method according to claim 28 or claim 29, further including the step of applying a spreading layer on the base layer prior to application of the coating fluid.

10 31. A method according to claim 30, wherein the step of applying a spreading layer comprises applying a surfactant-coated polyester mesh on the base layer.

15 32. A method according to claim 30, further comprising the step of applying a first dielectric layer prior to applying the spreading layer, the first dielectric layer being applied around the reference electrode and the working electrode to define a target area to which the  
20 said fluid containing a substance acted upon by the enzyme will be applied.

33. A method according to claim 32, further comprising the step of applying a second dielectric layer around the  
25 target area so as to secure the spreading layer in place.

34. A method according to any of claims 28 to 33, wherein said enzyme is provided in the coating fluid.

30 35. A method according to any of claims 28 to 33, wherein the concentration of buffer in the coating fluid is in the

- 30 -

range 300 mmol/L to 1 mol/L.

36. A method according to any of claims 27 to 34, wherein the ratio of buffer to enzyme is in the range 30-  
5 80 mol/kg.
37. A method according to claim 36, wherein the ratio of buffer to enzyme is in the range 40-60 mol/kg.
- 10 38. A method according to any of claims 27-36, wherein the buffer comprises phosphate or ADA.
- 15 39. A method according to any of claims 27-38, wherein said finely divided platinum group metal or oxide in said ink is adsorbed on the surface of particles of finely divided carbon or graphite.
40. A method according to claim 28, wherein the coating fluid has a pH in the range 7 to 8.5.

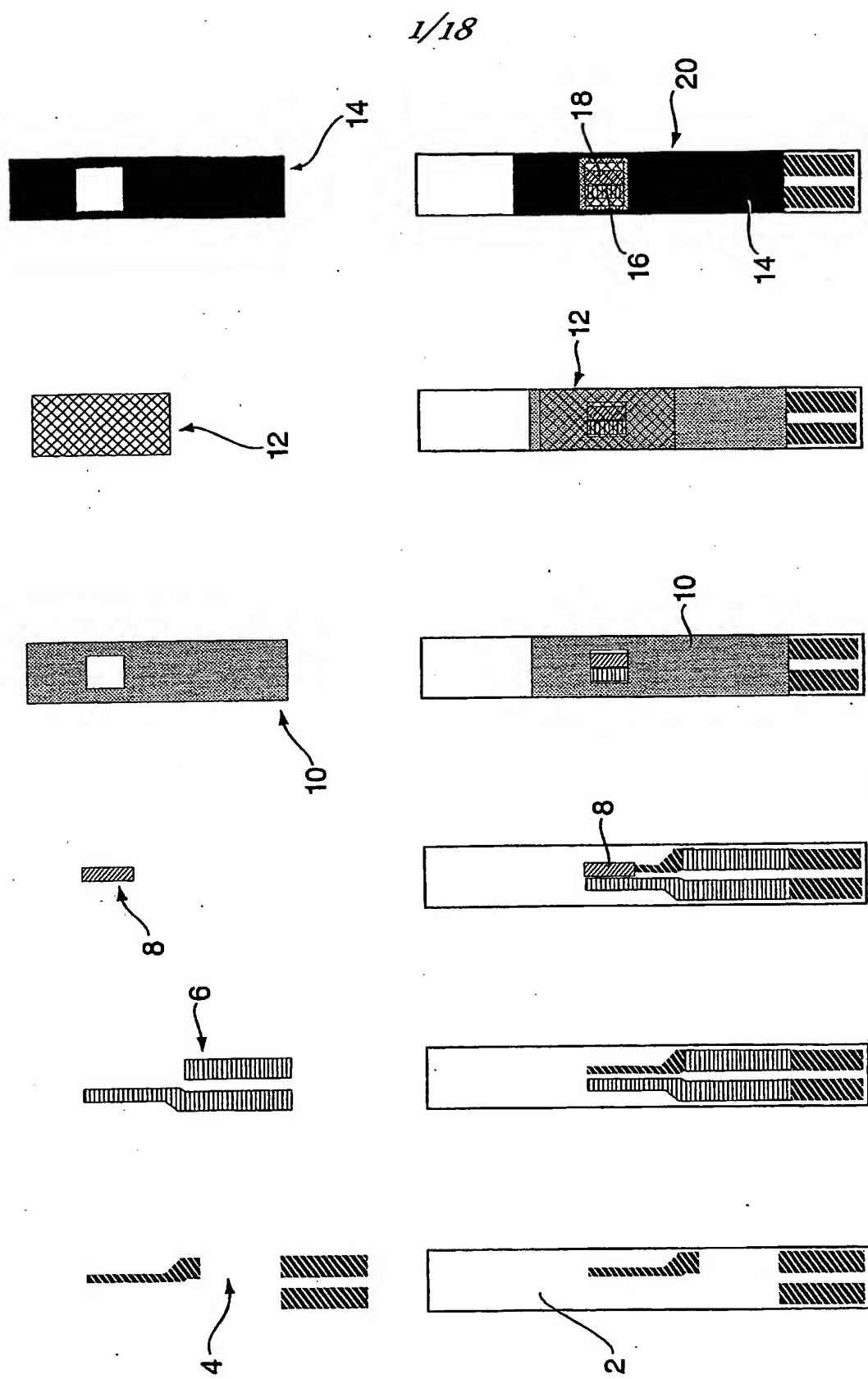
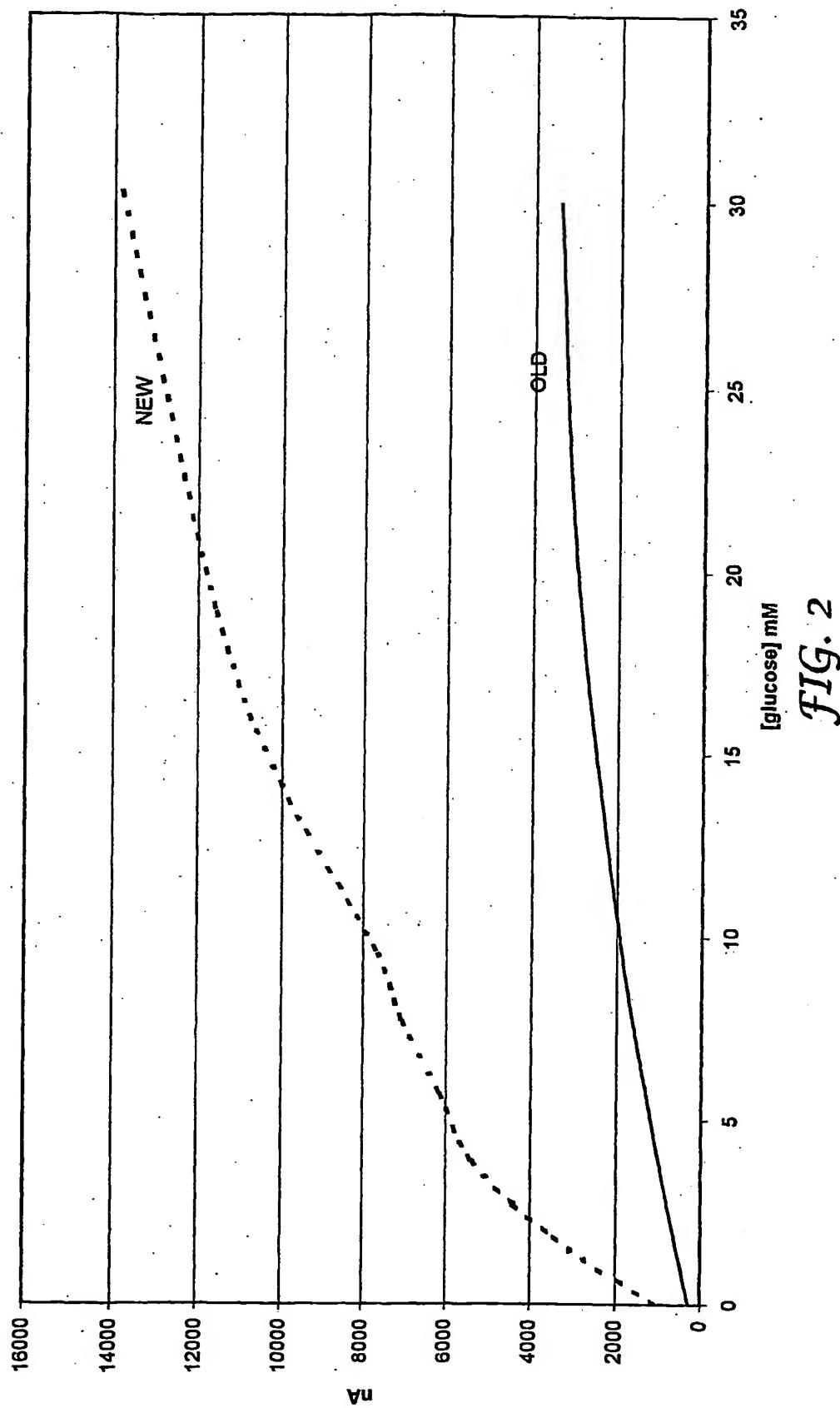


FIG. 1

2/18

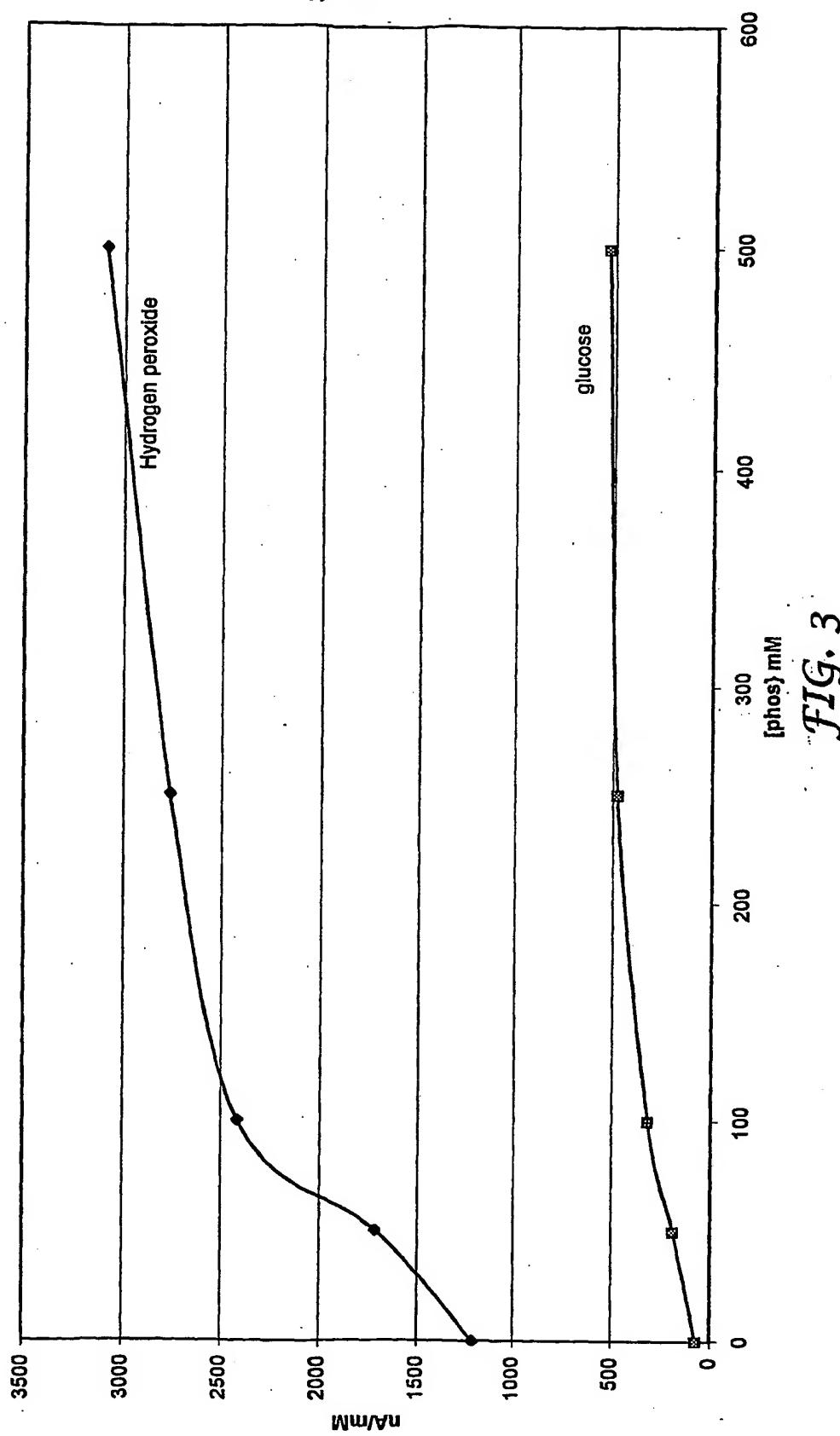
**Comparison between glucose calibrations on old and new methodologies**



**FIG. 2**

3/18

**Effect of Phosphate buffer concentration in top layer on response**



**FIG. 3**

4/18

Effect of pH on glucose response

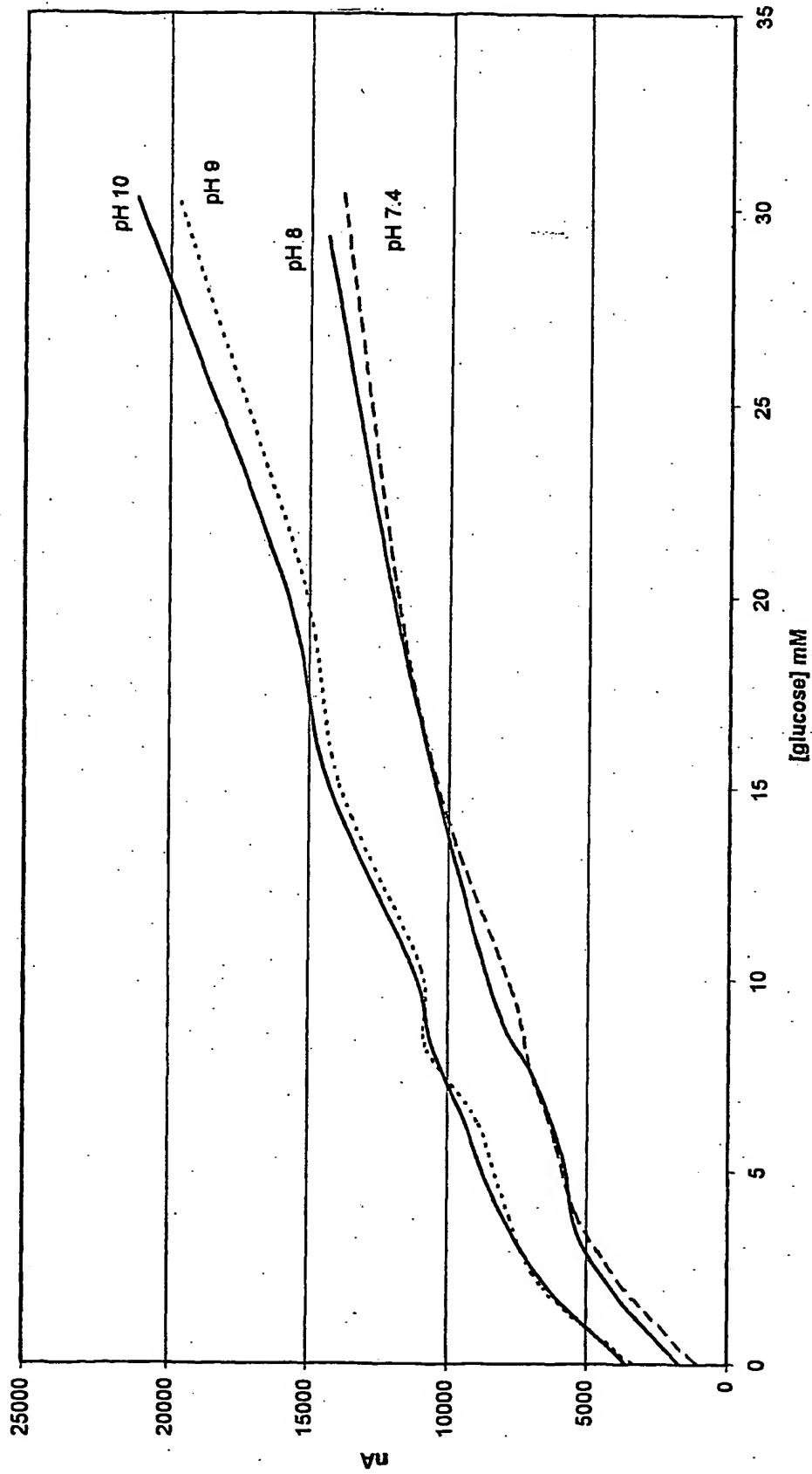
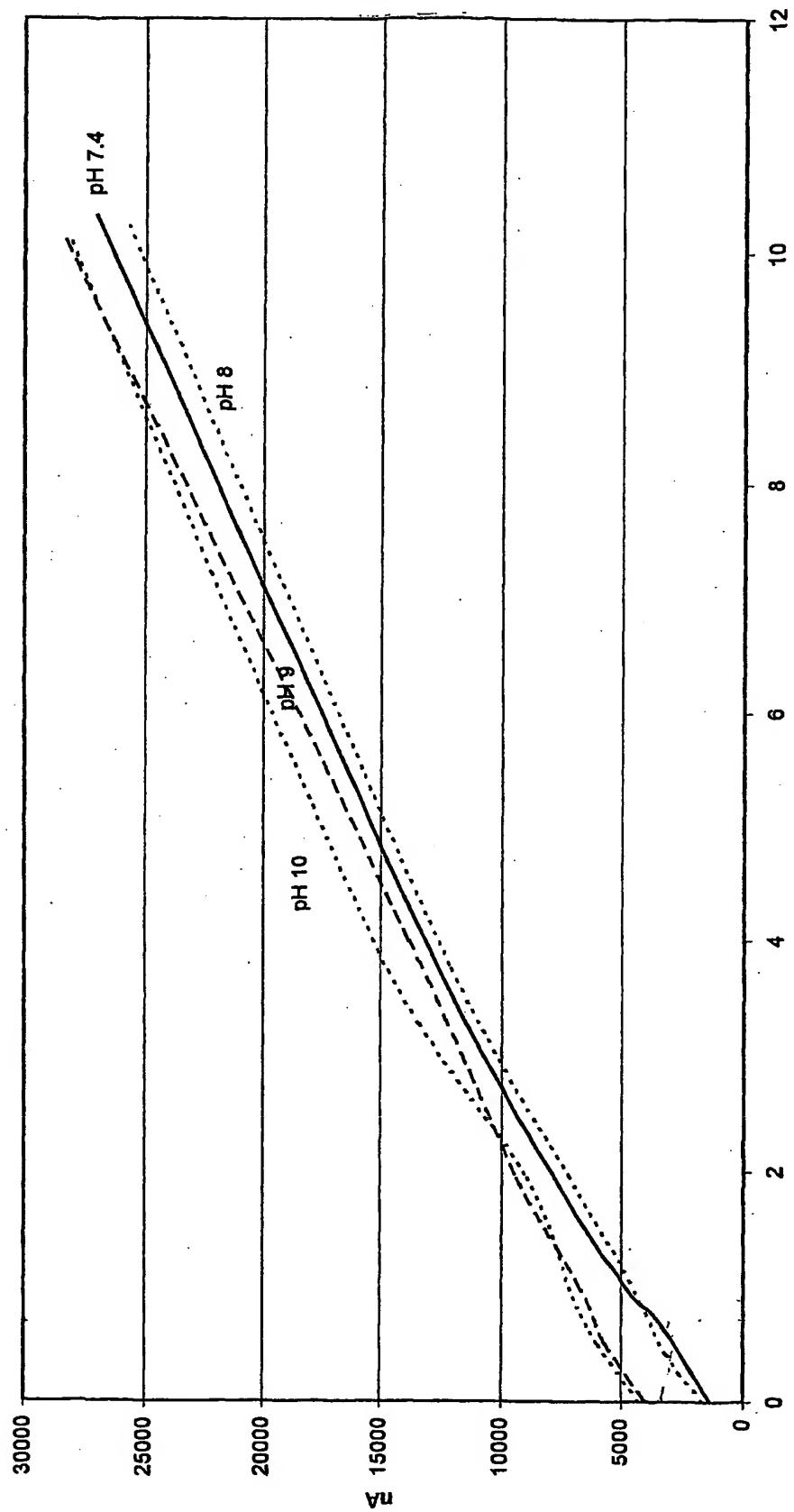


FIG. 4

5/18

**Effect of pH on Hydrogen Peroxide response****FIG. 5**

6/18

pH effect on low hydrogen peroxide response

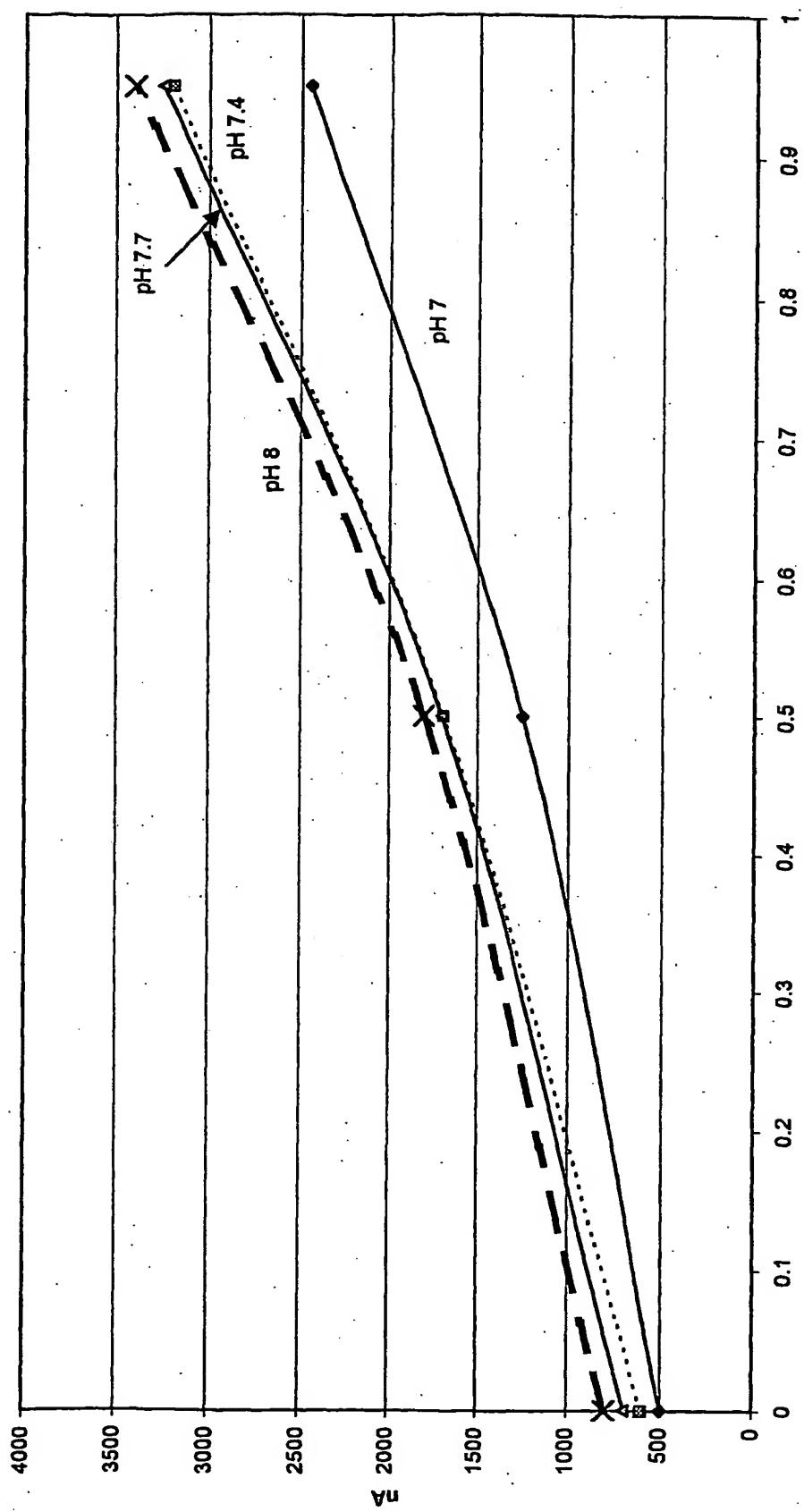


FIG. 6

7/18

Effect of buffer type on glucose response

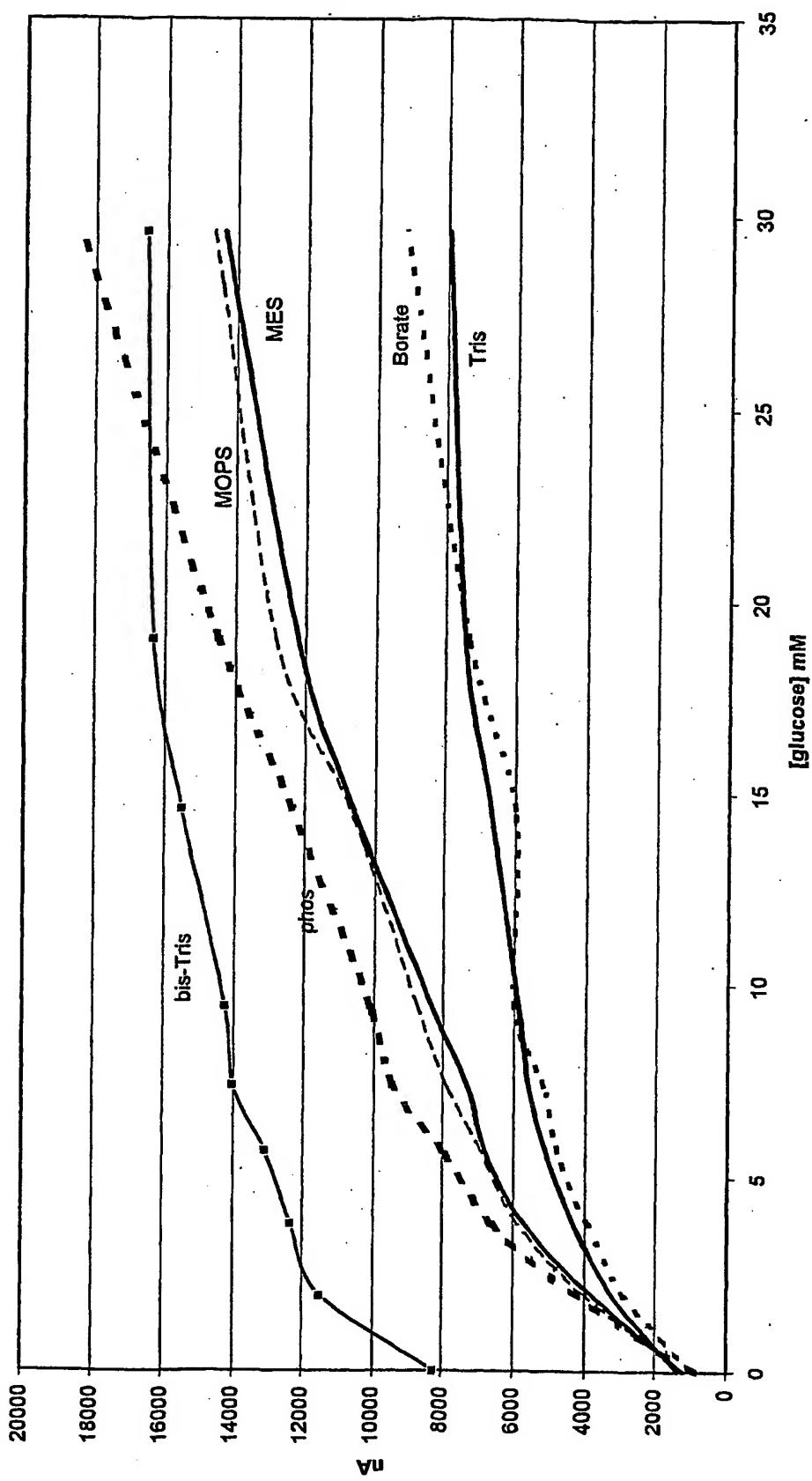
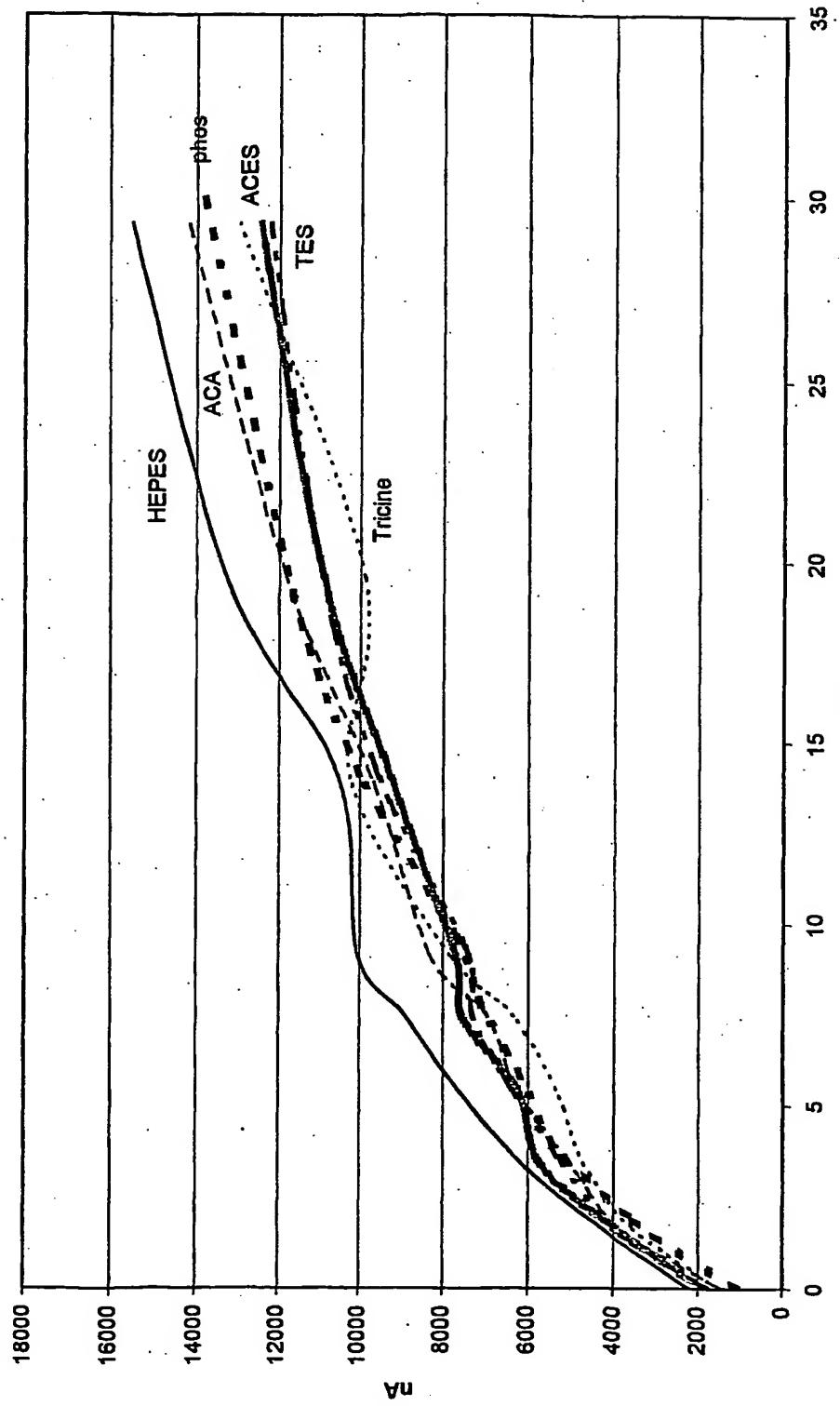


FIG. 7

8/18

**Effect of buffer type on glucose response**



**FIG. 8**

9/18

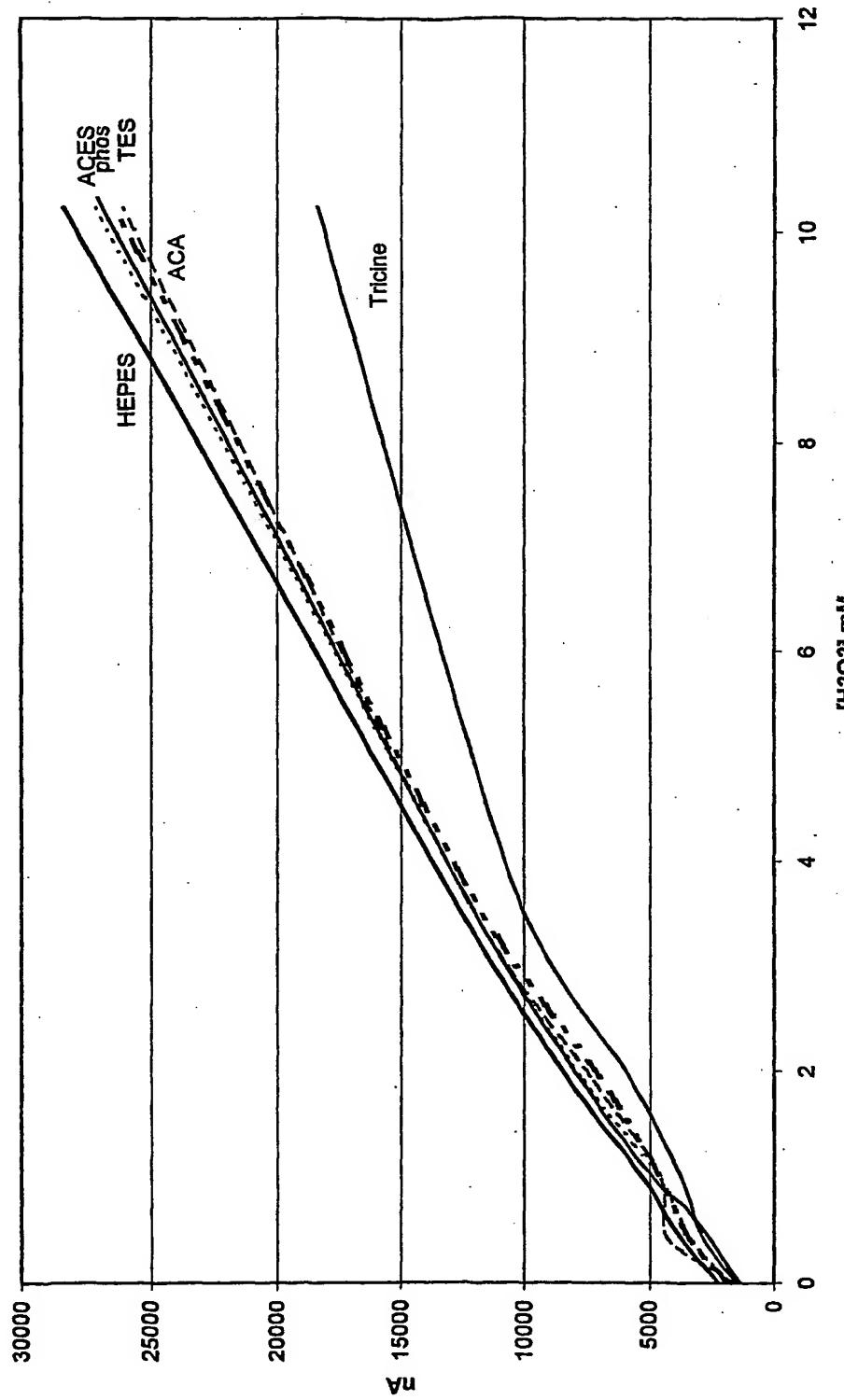
Effect of buffer type on H<sub>2</sub>O<sub>2</sub> response

FIG. 9

10/18

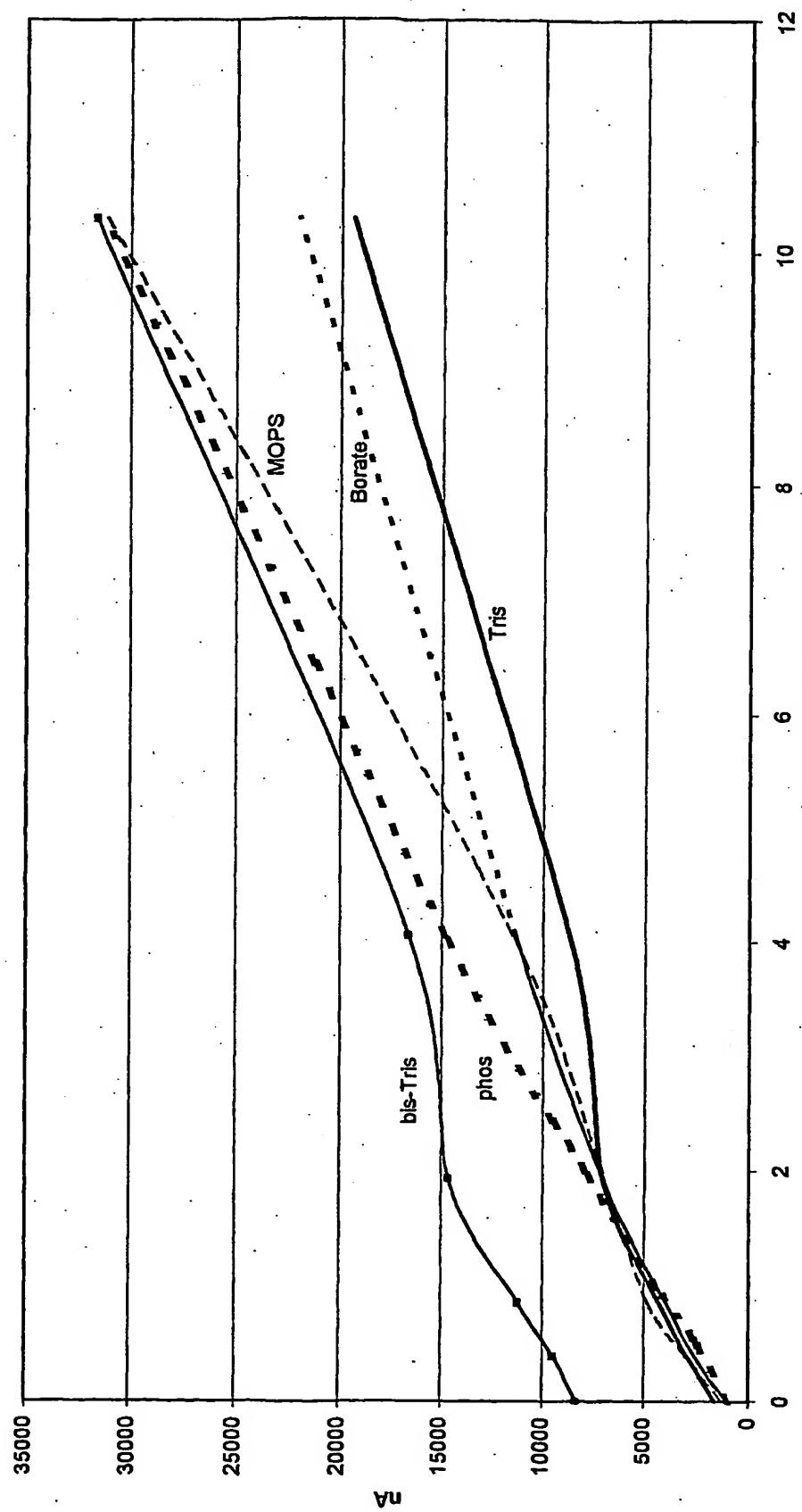
Effect of buffer type on H<sub>2</sub>O<sub>2</sub> response

FIG. 10

11/18

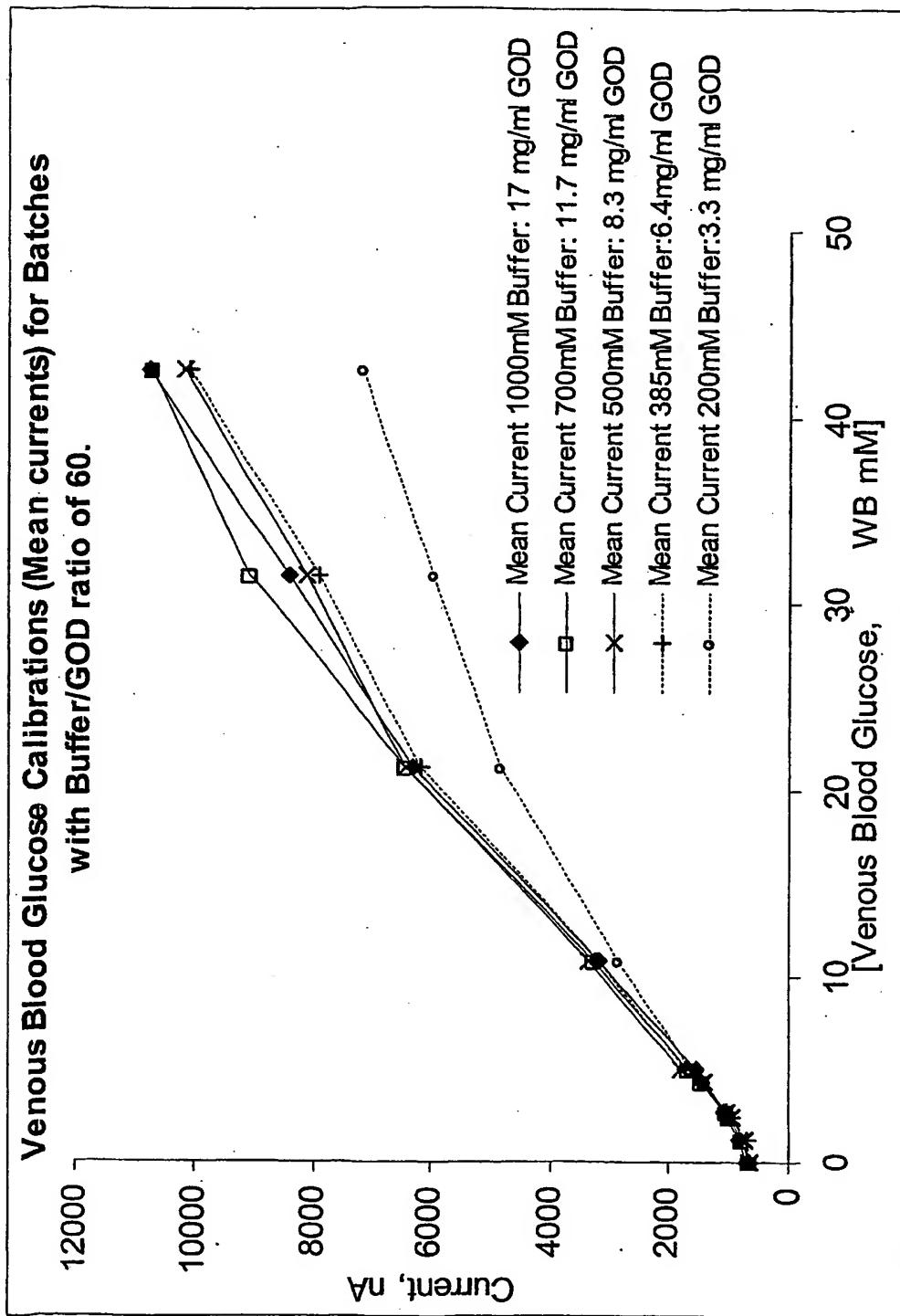


FIG. 11

12/18

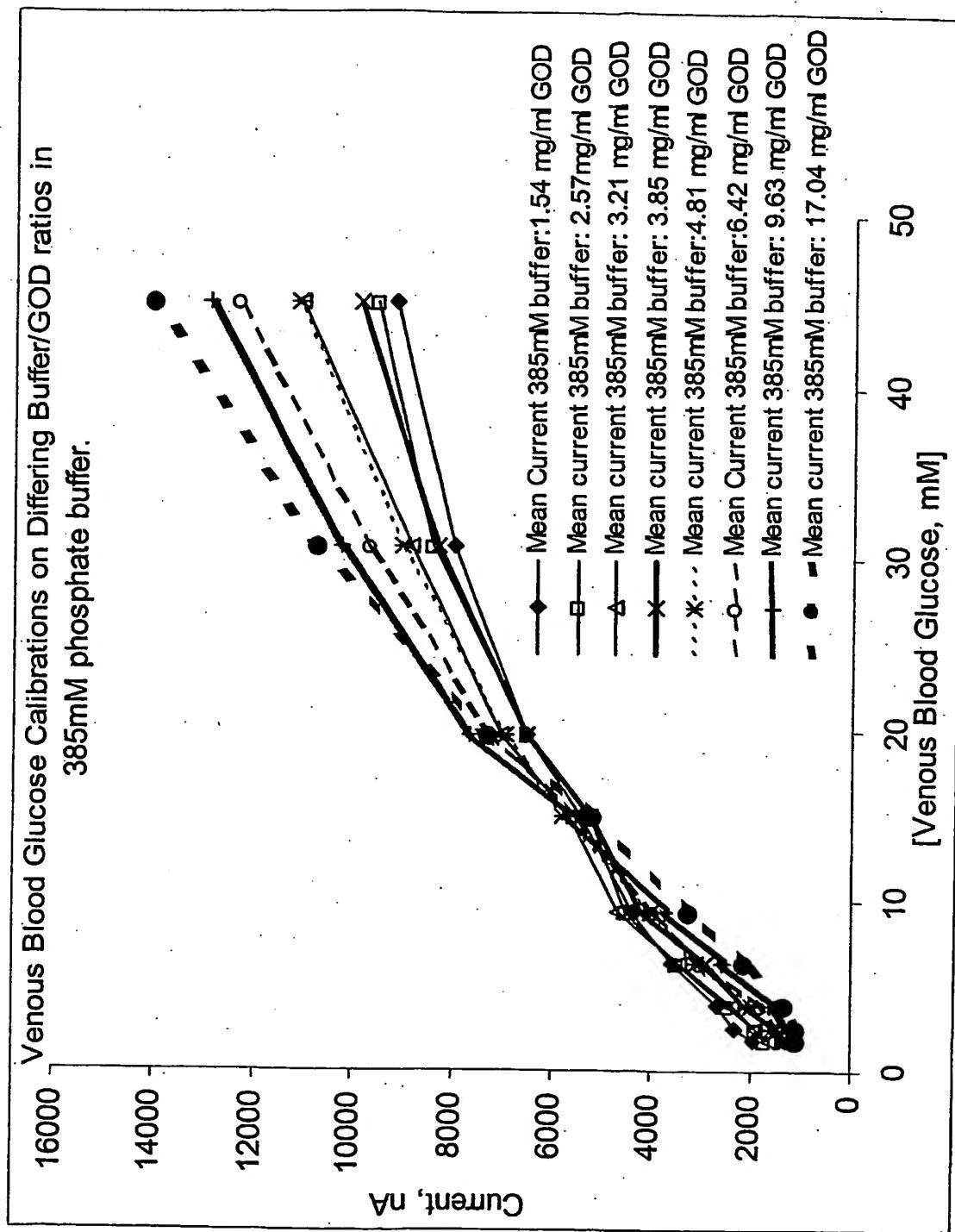


FIG. 12

13/18

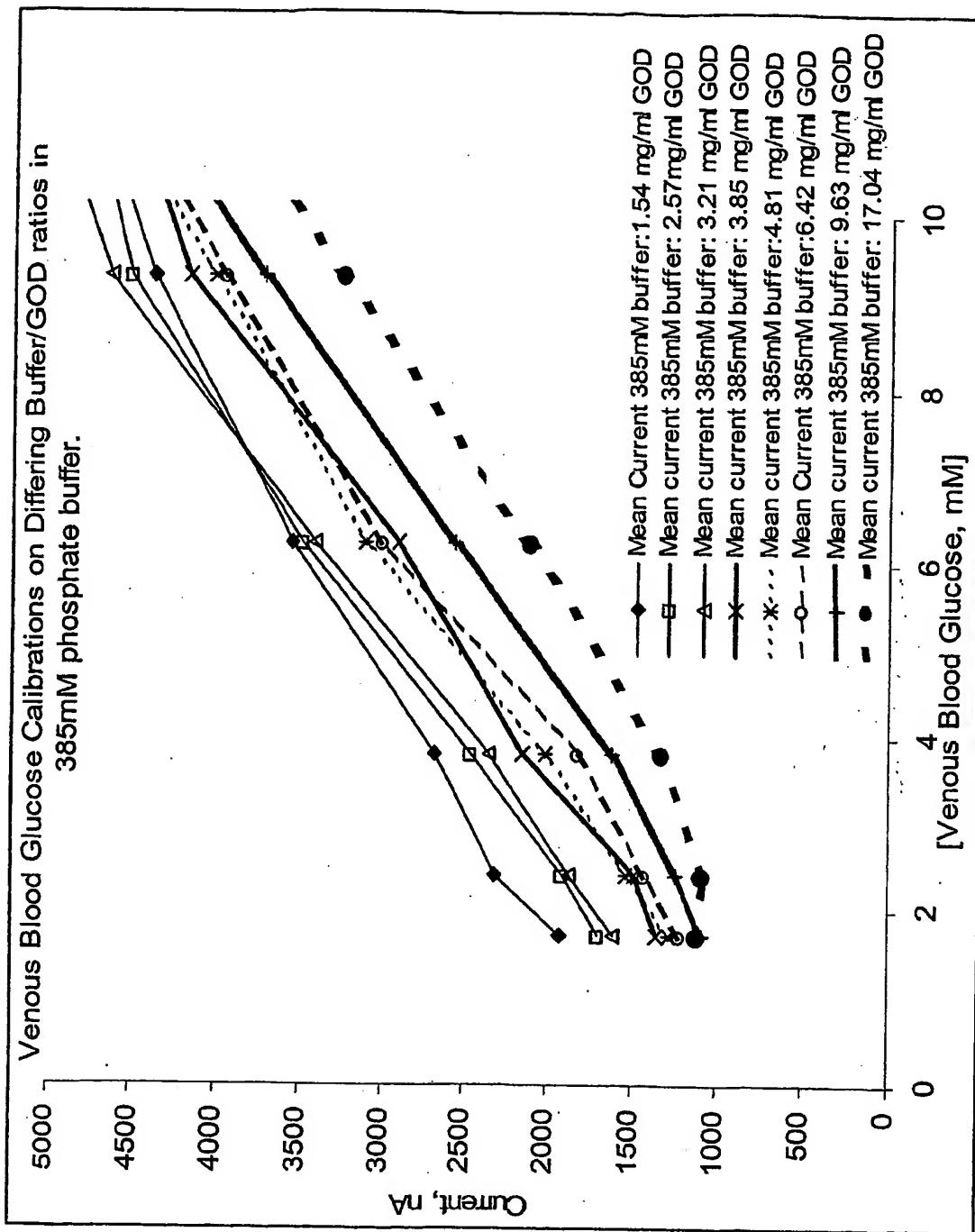


FIG. 13

14/18

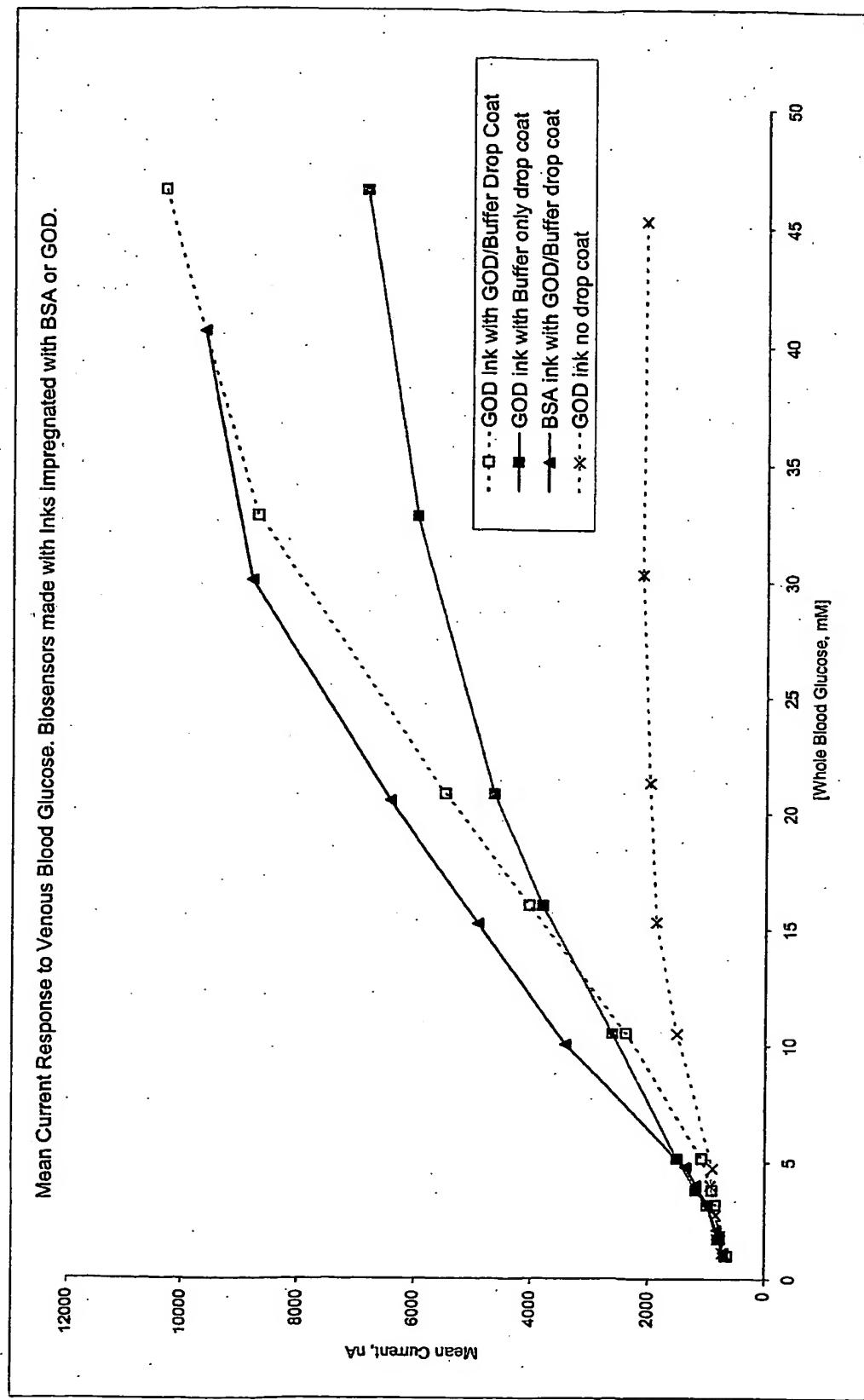


FIG. 14

15/18

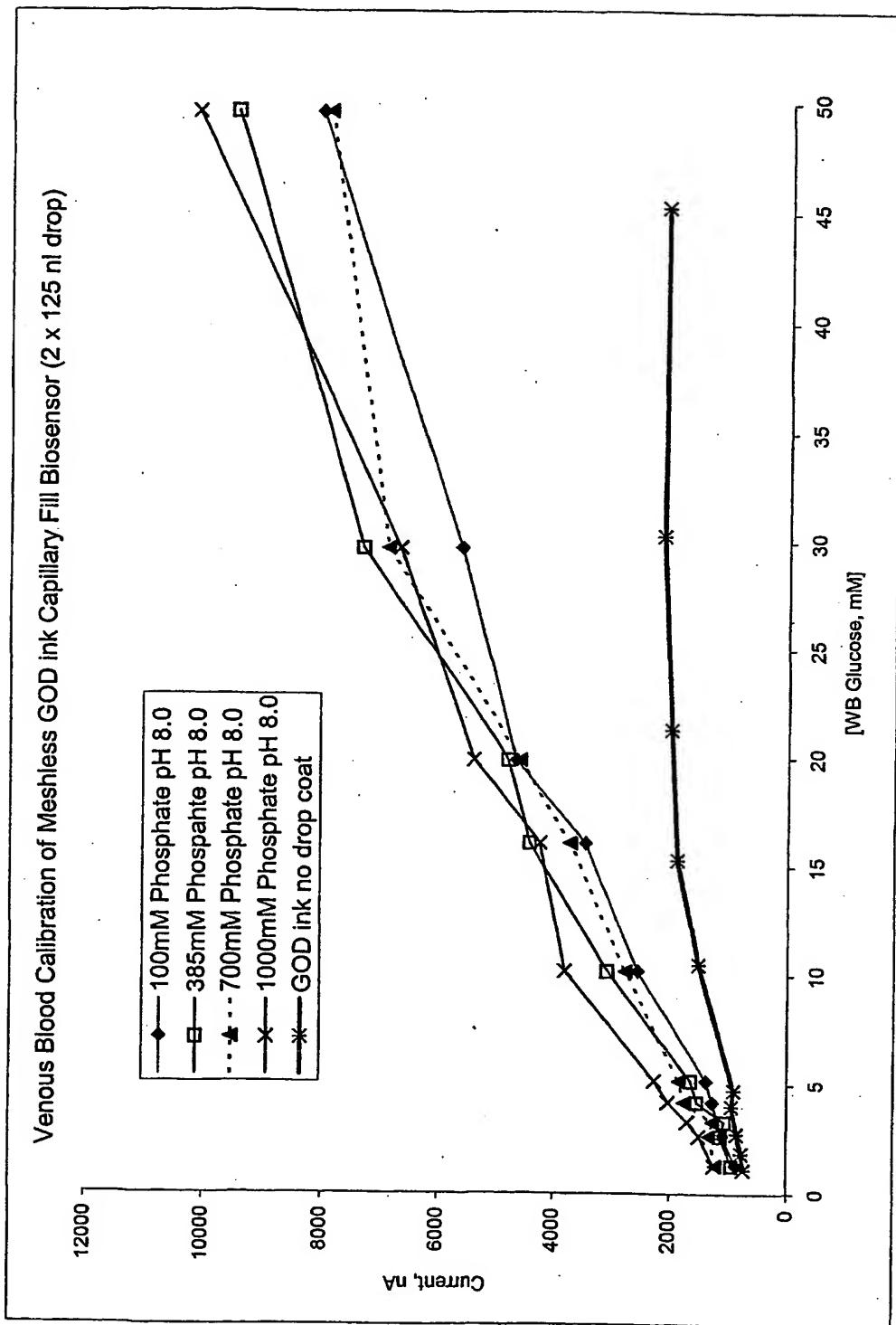


FIG. 15

16/18

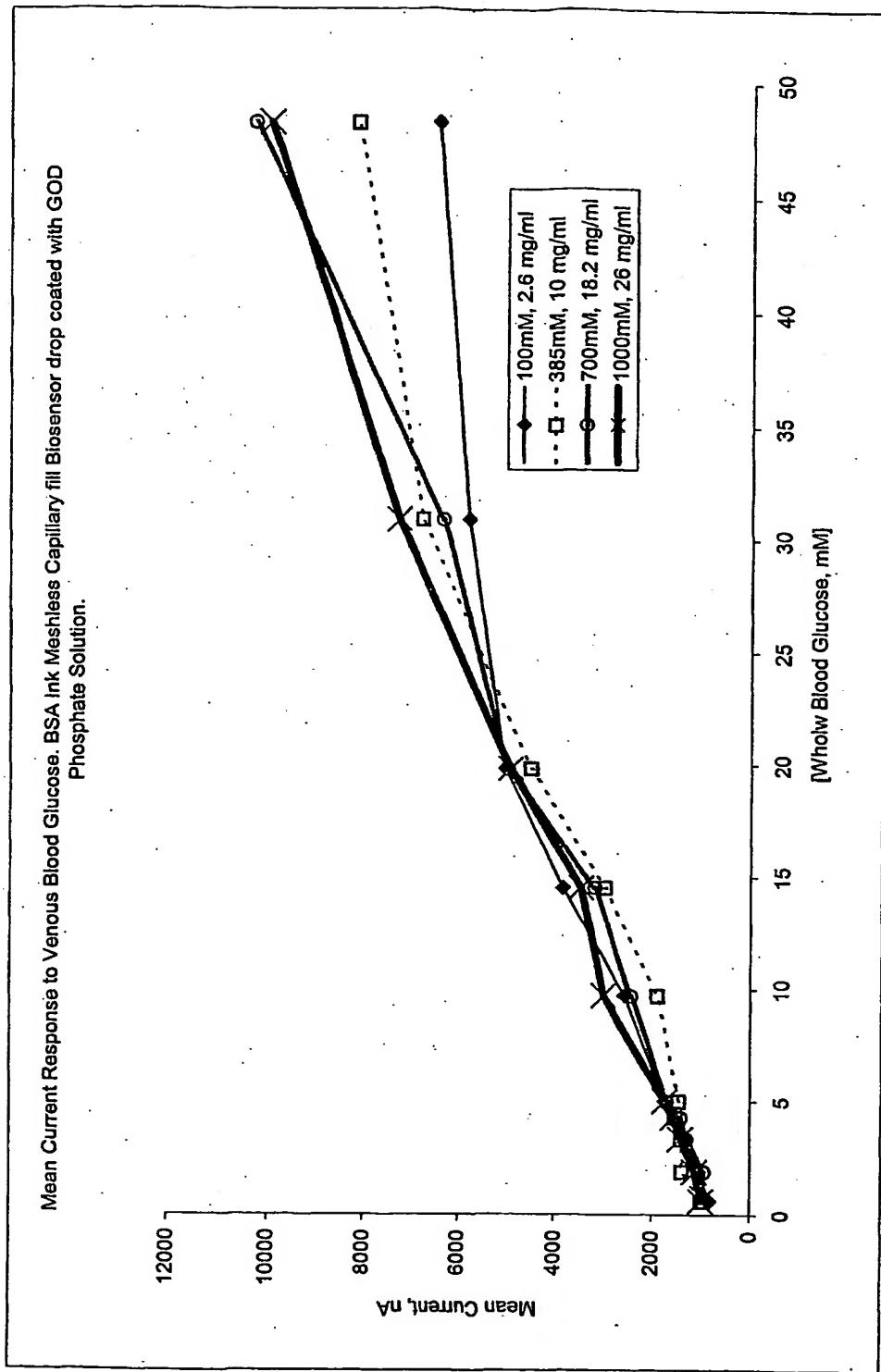


FIG. 16

17/18

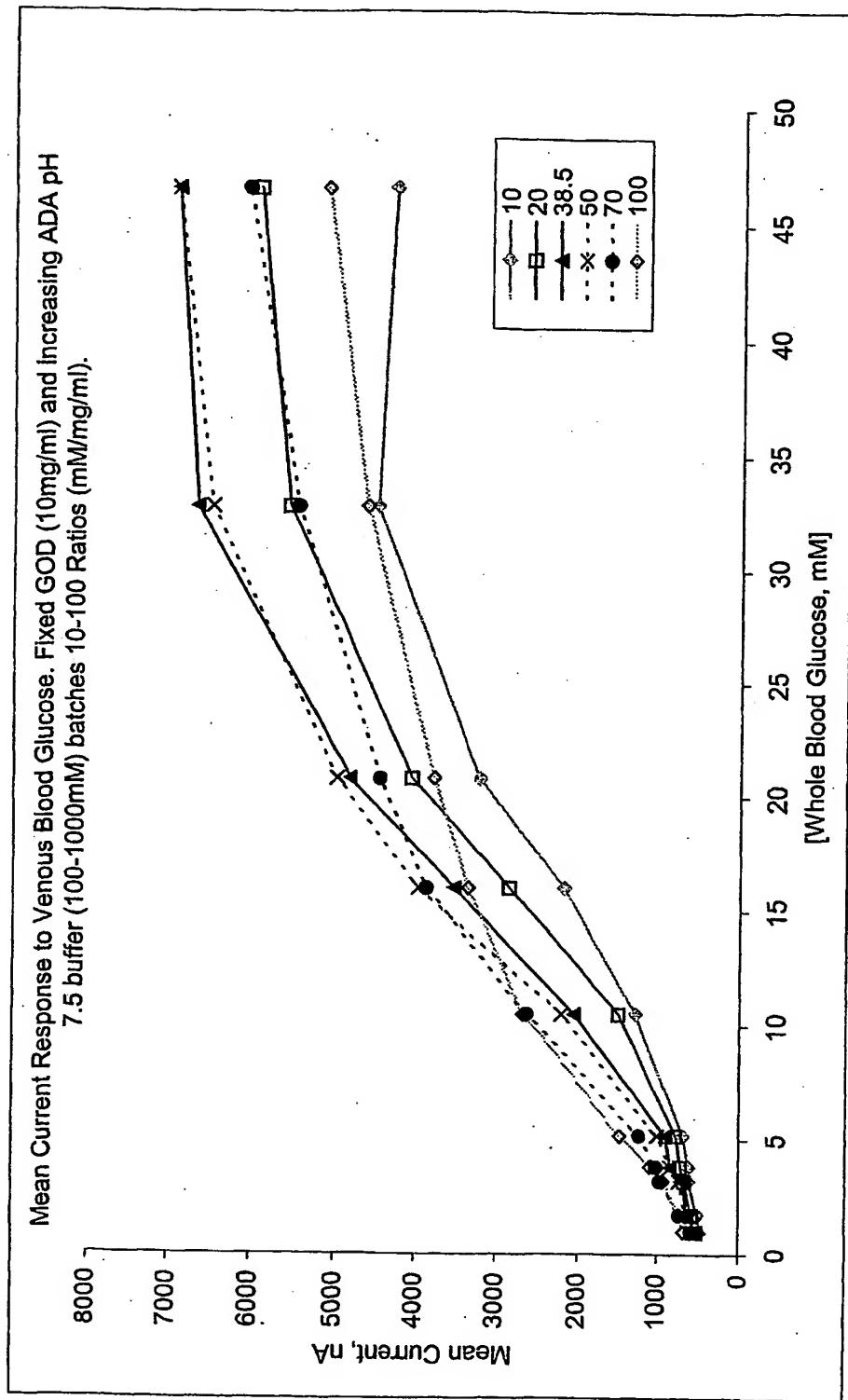


FIG. 17

18/18

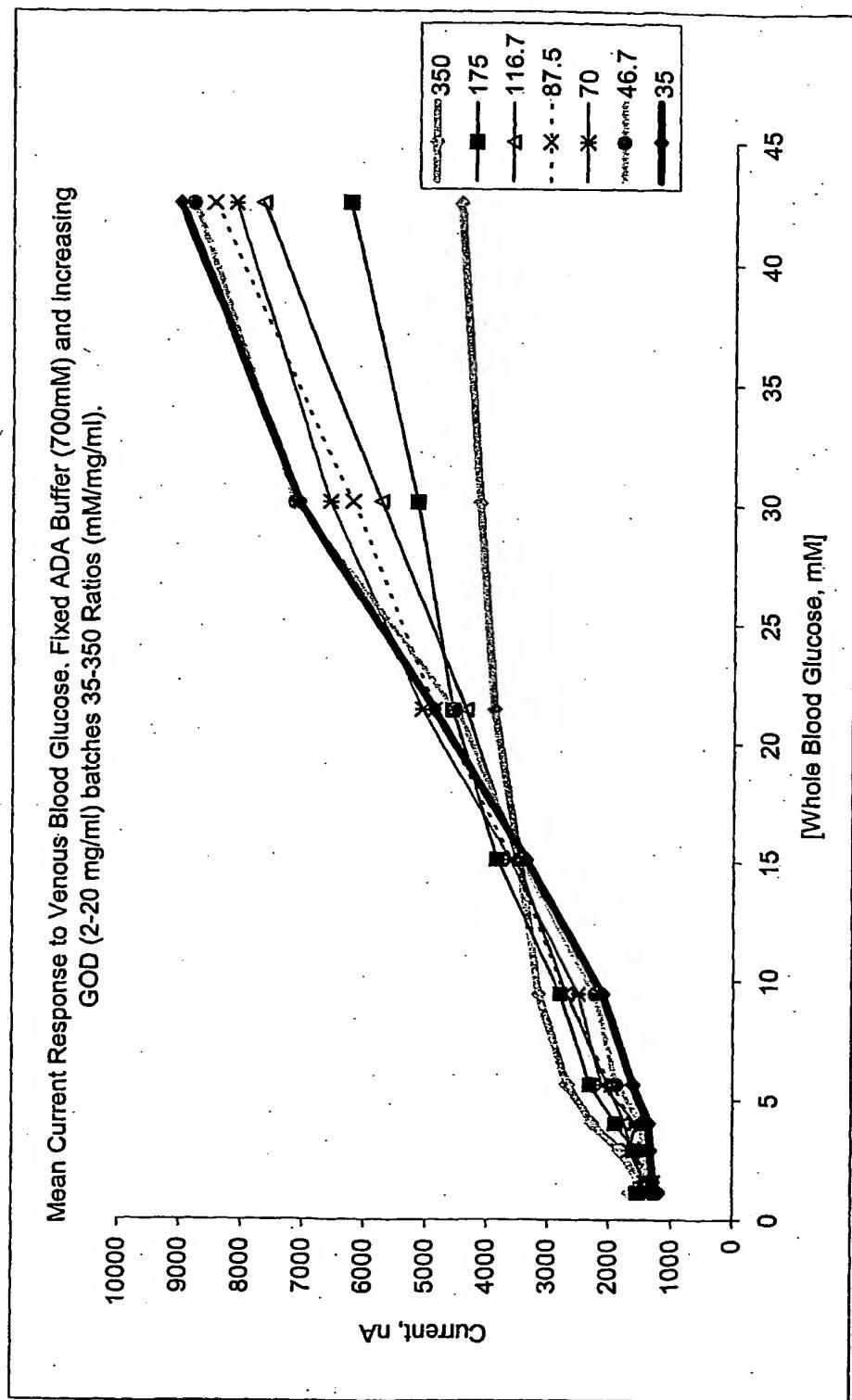


FIG. 18

# INTERNATIONAL SEARCH REPORT

Internat.	Application No
PCT/GB 03/02901	

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
IPC 7 G01N27/327 C12Q1/00 C12Q1/26		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
IPC 7 C12Q G01N		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the International search (name of data base and, where practical, search terms used)		
EPO-Internal, WPI Data, PAJ, CHEM ABS Data, MEDLINE, BIOSIS		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 118 404 A (SAITO ATSUSHI) 2 June 1992 (1992-06-02) the whole document -----	1,17,27
A	EP 0 636 879 A (MATSUSHITA ELECTRIC IND CO LTD) 1 February 1995 (1995-02-01) abstract -----	1,17,27
A	EP 0 375 363 A (SHINETSU CHEMICAL CO) 27 June 1990 (1990-06-27) abstract -----	1,17,27
<input type="checkbox"/> Further documents are listed in the continuation of box C.		<input checked="" type="checkbox"/> Patent family members are listed in annex.
* Special categories of cited documents :		
*A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority, claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed		
*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art *S* document member of the same patent family		
Date of the actual completion of the International search		Date of mailing of the International search report
27 October 2003		05/11/2003
Name and mailing address of the ISA		Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Moreno, C

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International

Application No

PCT/GB 03/02901

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
US 5118404	A 02-06-1992	JP	2100078 C	22-10-1996
		JP	2287148 A	27-11-1990
		JP	8020409 B	04-03-1996
		JP	2926771 B2	28-07-1999
		JP	3065645 A	20-03-1991
EP 0636879	A 01-02-1995	DE	69429640 D1	21-02-2002
		DE	69429640 T2	12-09-2002
		EP	0636879 A2	01-02-1995
		JP	3070818 B2	31-07-2000
		JP	7083872 A	31-03-1995
		US	5658443 A	19-08-1997
		US	RE36991 E	19-12-2000
EP 0375363	A 27-06-1990	JP	2090428 C	18-09-1996
		JP	2166163 A	26-06-1990
		JP	7119363 B	20-12-1995
		DE	68920302 D1	09-02-1995
		DE	68920302 T2	11-05-1995
		EP	0375363 A2	27-06-1990
		FI	101155 B1	30-04-1998
		US	5082915 A	21-01-1992

This Page Blank (uspto)

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

This Page Blank (uspto)